

Attorney Docket No. IDD-5657CIP2
1417Y P 606

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Utility Patent Application of:
Jane Werling, James E. Kipp, Rajaram
Sriram, and Mark J. Doty

For: METHOD FOR PREPARING
SUBMICRON SUSPENSIONS WITH
POLYMORPH CONTROL

Mailed: October 19, 2001

Certificate of Express Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail, Post Office to Addressee, in an envelope addressed to: Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

Heather M. Pishko
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JC978 U.S. PTO
10/035821
10/19/01

PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing under 35 U.S.C. §111(a) and 37 C.F.R. 1.53(b) is a new utility continuation-in-part patent application for a "Method for Preparing Submicron Suspensions with Polymorph Control."

This application is a continuation in part of application serial No. 09/953,979 filed on September 17, 2001 which is a continuation in part of application No. 09/874,637 filed on June 5, 2001, which claims priority from provisional application serial number 60/258,160 filed December 22, 2000.

X 54 pages of Specification, including 66 Claims and an Abstract
None Sheets of Drawings
X Unexecuted Declaration and Power of Attorney

The filing fee has been calculated as shown below, which will be submitted in response to the Notice to File Missing Parts of Application.

| | | | Small Entity | | | Other Than A Small Entity | |
|---|-----------|-----------|--------------|--------|----|---------------------------|---------|
| For: | No. Filed | No. Extra | Rate | Fee | or | Rate | Fee |
| Basic Fee | | | | \$ 370 | or | | \$ 740 |
| Total Claims | 66 - 20 = | 46 | x 9 = | \$ 0 | or | x 18 = | \$ 828 |
| Indep. Claims | 4 - 3 = | 1 | x 42 = | \$ 0 | or | x 84 = | \$ 84 |
| <input type="checkbox"/> First Presentation of Multiple Dependent Claim | | | x 140 = | \$ 0 | or | x 280 = | \$ 0 |
| | | | Total | \$ 0 | or | Total | \$ 1652 |

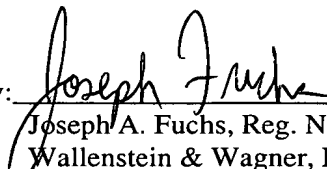
The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-0280. A duplicate copy of this sheet is attached.

- ___ Any additional filing fees under 37 C.F.R. § 1.16.
- ___ Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

Date: October 19, 2001

By: _____


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jlg/133057.1

EXPRESS MAIL CERTIFICATION

In Re U.S. Patent Application

Applicant(s): Jane WERLING, James E. KIPP, Rajaram SRIRAM, and Mark J. DOTY

Filing Date: October 19, 2001

Entitled: METHOD FOR PREPARING SUBMICRON SUSPENSIONS WITH POLYMORPH CONTROL

Attorney Docket No.: IDD-5657CIP2


Enclosures:

- **Return Receipt Postcard;**
- **Express Mail Certification;**
- **Patent Transmittal Letter (in duplicate); and**
- **54-Page Patent Application (including 66 Claims and Abstract).**

I HEREBY CERTIFY THAT THIS PAPER AND THE ABOVE DOCUMENTS ARE BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO:

BOX PATENT APPLICATION
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Heather M. Pishko

PLEASE ADDRESS ALL FUTURE COMMUNICATIONS TO:

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